
Radical Polymerizations I

Chain Growth Basics

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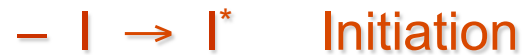
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Chain Polymerizations

- Typical chain reaction

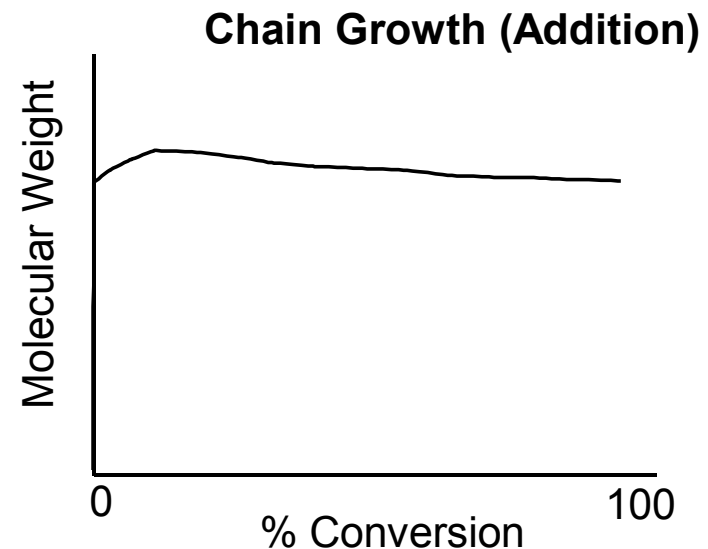


- $*$ = reactive species

- Radical

- Anion

- Cation



Olefinic Monomers

Monomers	Type of Initiation		
	Radical	Cationic	Anionic
Ethylene	+	-	+
1-Alkyl olefins (α -olefins)	-	-	-
1,1-Dialkyl olefins	-	+	-
1,3-Dienes	+	+	+
Styrene, α -methyl styrene	+	+	+
Halogenated olefins	+	-	-
Vinyl esters ($\text{CH}_2=\text{CHOCOR}$)	+	-	-
Acrylates, methacrylates	+	-	+
Acrylonitrile, methacrylonitrile	+	-	+
Acrylamide, methacrylamide	+	-	+
Vinyl ethers	-	+	-
N-Vinyl carbazole	+	+	-
N-Vinyl pyrrolidone	+	+	-
Aldehydes, ketones	-	+	+

Initiators and Solvents

- Initiators

- Peroxides
- Azo compounds
- Redox
- Thermal
- UV
- γ -rays

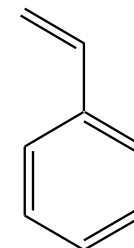
- Solvents

- Danger of radical transfer to solvent
 - E.g. C_6H_6 is better than $C_6H_5CH_3$
- Water is okay
- Remove O_2
 - Formation of stable peroxide radicals
 - $\sim\sim\sim O-O\cdot$ (relatively stable)

Some Typical Conditions

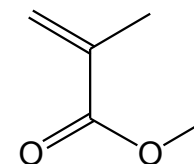
- Styrene

- Bulk, under N_2
- 125°C , 1-7 days, $M_n \sim 150,000$



- Methyl methacrylate (MMA)

- Solution, 0.5% AIBN
- 1-2 days, 60°C , $M_n \sim 100,000$



- Acrylonitrile

- Emulsion, H_2O , N_2 , surfactant
- $K_2S_2O_8/NaHSO_3$ (redox)
- 35°C , 24 hrs, $M_n \sim 50,000$

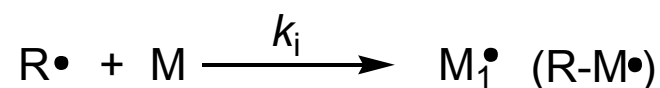
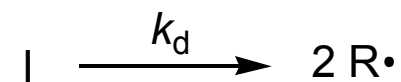


Elementary Reactions ...1

- Initiation

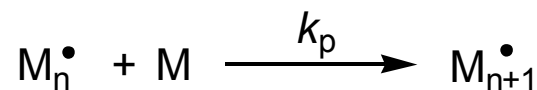
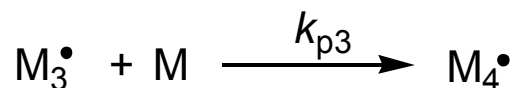
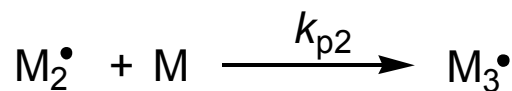
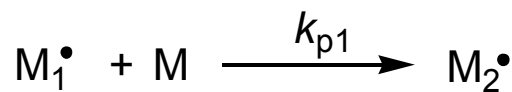
- $R\cdot$ = primary radicals

- $R_d = 2k_d[I] \ll R_i = k_i[R\cdot][M]$



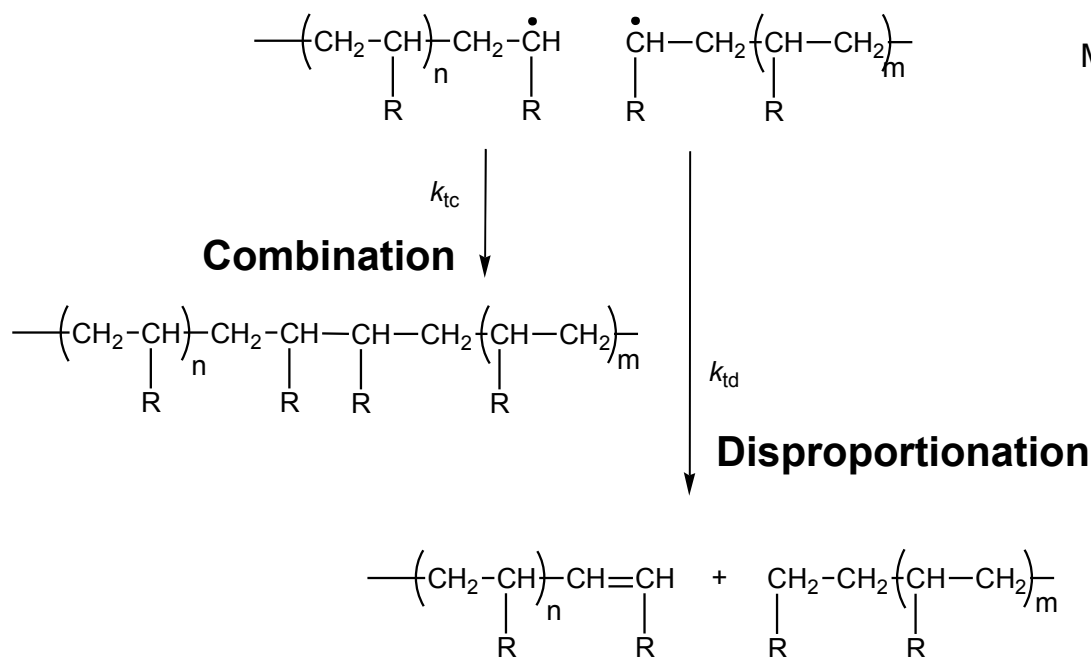
- Propagation

- $R_p = k_p[R\cdot][M]$



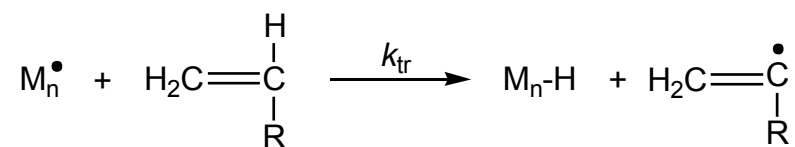
Elementary Reactions ...1

- Termination
(radical-radical)



- Transfer

– E.g. to monomer



– Solvent

– Polymer

– Additives

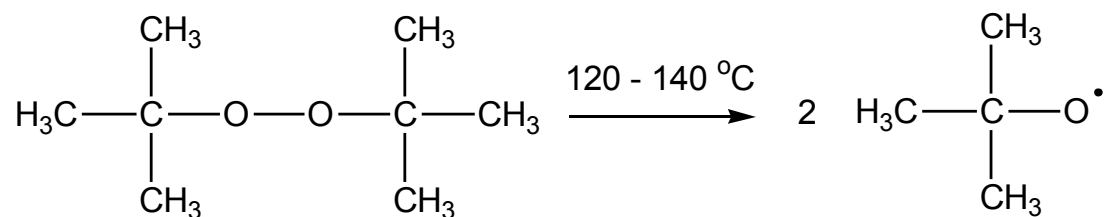
Initiation

- Rate of radical formation
 - $d[R]/dt = 2 k_d [I]$
 - Typical values
 - $k_d \sim 10^{-6} - 10^{-4} \text{ s}^{-1}$
 - $[I] \sim 0.01 \text{ M (0.1\%)}$
 - $d[R]/dt \sim 10^{-8} - 10^{-6} \text{ M s}^{-1}$
- Temperature at which half lifetime = 10 hrs
 - Depends on initiator structure
 - $\tau_{1/2} = (\ln 2)/k_d$

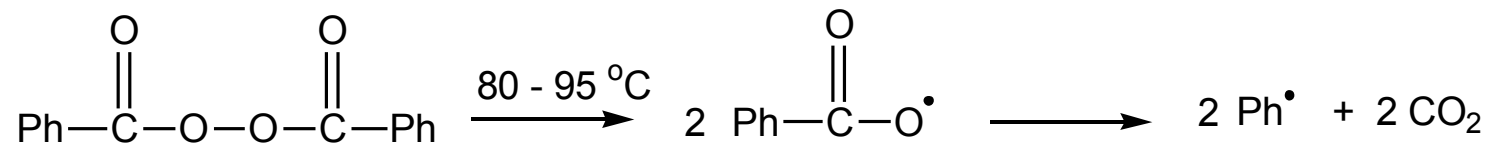
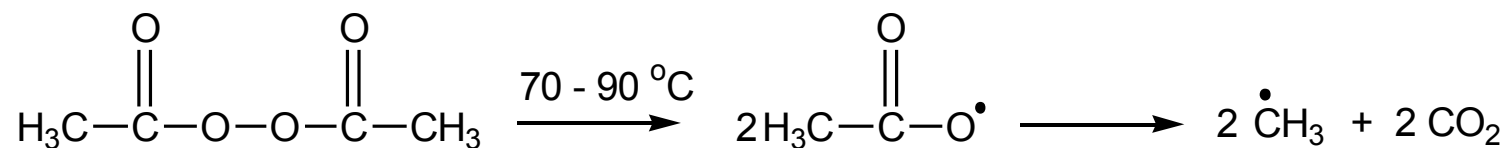
Thermal Initiators ...1

- Peroxides

- Dialkyl peroxides



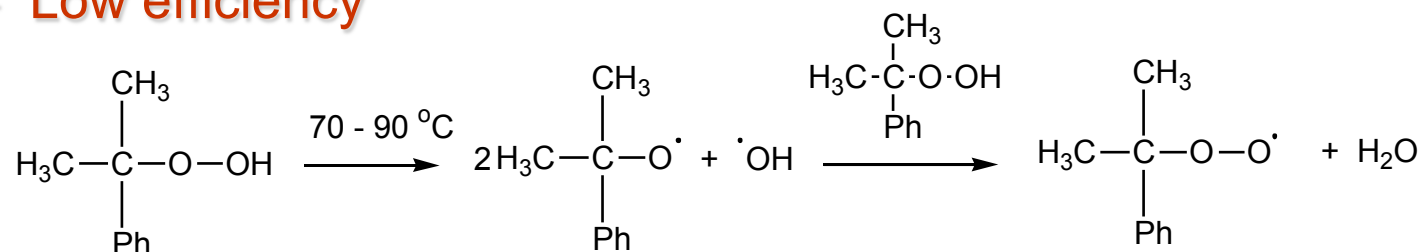
- Diacyl peroxides



Thermal Initiators ...2

- Hydroperoxides

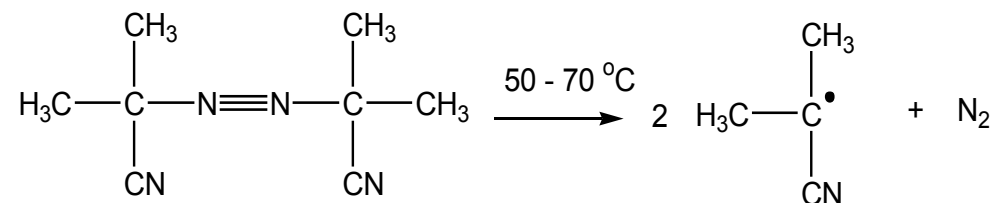
- Low efficiency



- Azo initiators

- AIBN

- Azobisisobutyronitrile



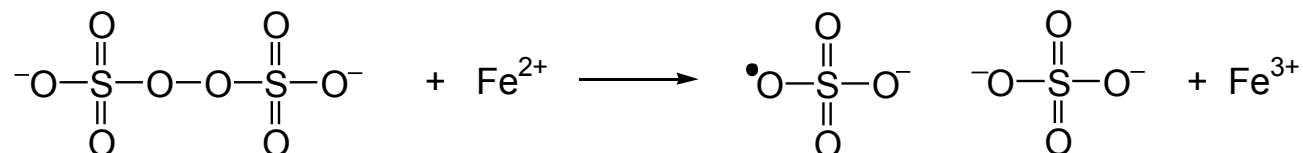
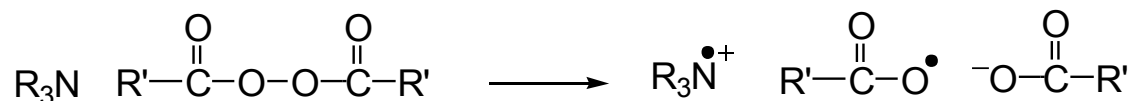
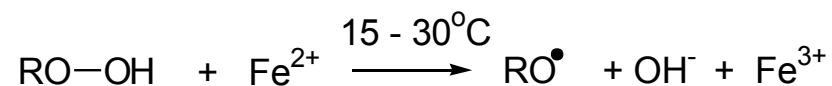
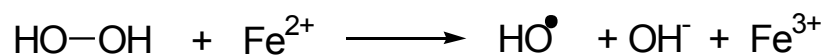
- Others

- R-N=N-R

- R = Me (250°C), isopropyl (200°C), PhC(H)Me (110°C), Me₂(CN)C (60°C), Ph₂CH (30°C)

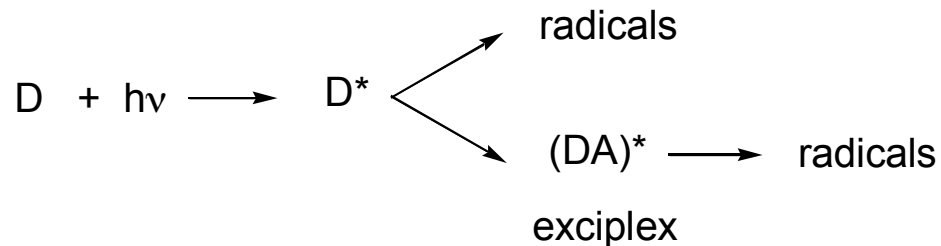
Redox Initiators

- Advantages
 - Lower temperatures
 - Good solubilities
 - High initiation efficiency
 - One mole radicals per mole initiator



Photochemical Initiator

- Advantages
 - Spatially directed polymerization
 - Easy to turn on/off
 - Initiation rates can be controlled
- Absorb light $> 300 - 350\text{nm}$
 - Dye-sensitized



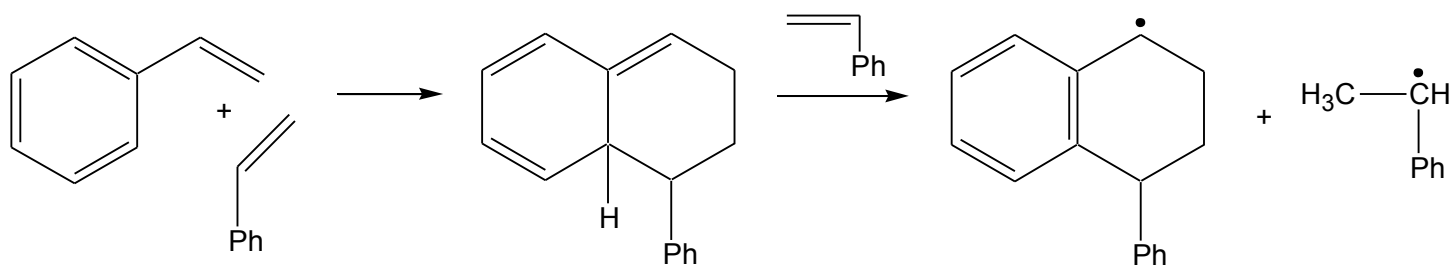
Radiation & Pure Thermal

- Radiation

- Neutrons, α -particles, β -rays, γ -rays, x-rays
- Homolytic cleavage

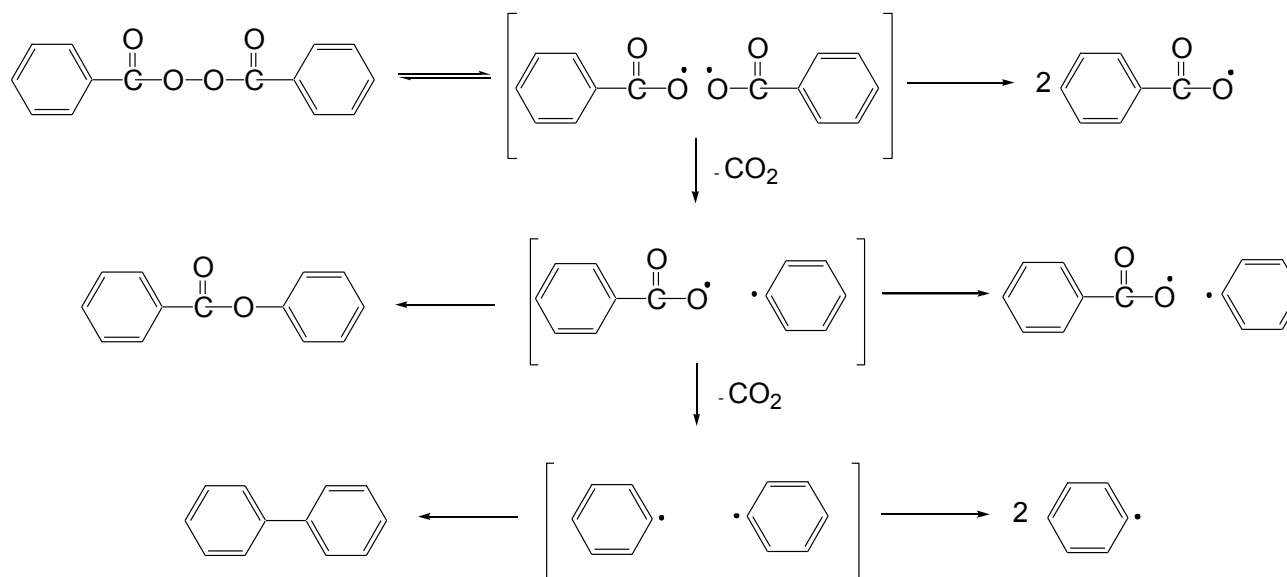
- Pure thermal

- Styrene



Initiation Efficiency

- Cage effect
 - f = initiator efficiency $\sim 0.3 - 0.8$
 - Dependent on conversion (viscosity)



- No cage effect for redox
 - One radical generated

Kinetics of Initiation

- Rate of initiation
 - Generation of radicals through thermal initiation
 - $R_d = 2 f k_d [I]$
 - Rate of change in primary radical concentration
 - $d[R\cdot]/dt = 2 f k_d [I] - k_i [R\cdot] [M] = 0$
 - Steady-state assumption
 - Assumes f is invariant
 - Rearranging for $[R\cdot]$
 - $[R\cdot] = (2 f k_d [I]) / (k_i [M])$
 - Assume decomposition is slowest step:

$$R_i = 2 f k_d [I]$$

Kinetics of Propagation

- Rate of propagation

- » $[M\bullet]$ = polymer radical conc.
- » $[R\bullet]$ = primary radical conc.

- $R_p = -d[M]/dt = k_p [M\bullet] [M]$

- Assumes that monomer only consumed during propagation

- $d[M\bullet]/dt = k_i [R\bullet] [M] - 2 k_t [M\bullet]^2 = 0$

- Assumes steady state for radical concentration

- $2 f k_d [I] - 2 k_t [M\bullet]^2 = 0$

- $[M\bullet] = (f k_d [I] / k_t)^{0.5}$

$$R_p = \frac{d[M]}{dt} = k_p [M] \sqrt{\frac{2 f k_d [I]}{2 k_t}}$$

$$R_p = \frac{d[M]}{dt} = k_p [M] \sqrt{\frac{R_i}{2 k_t}}$$

Rate of Polymerization

- Predictions:
 - 1st order in monomer
 - 1/2 order in initiator
- Plot of R_p vs. $[I]^{0.5}$
 - Linear
- Classical kinetics justified (?)

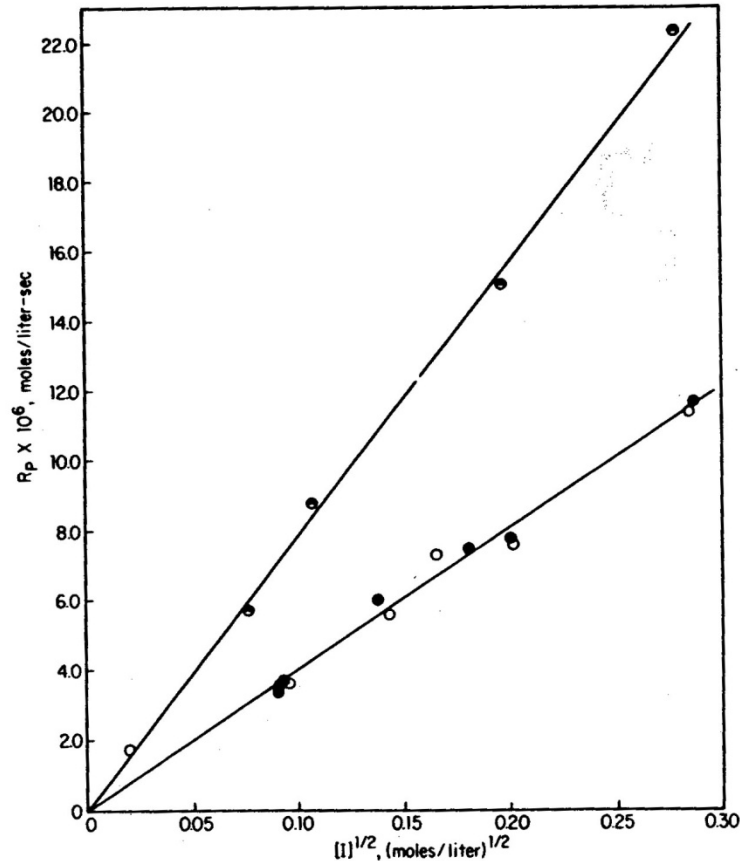


Fig. 3-3 Square-root dependence of the polymerization rate R_p on the initiator concentration $[I]$. ● = Methyl methacrylate, benzoyl peroxide, 50°C. After Schulz and Blaschke [1942] (by permission of Akademische Verlagsgesellschaft, Geest and Portig K.-G., Leipzig). ○ = Vinyl benzoate, azobisisobutyronitrile, 60°C. After Santee et al. [1964] and Vrancken and Smets [1959] (by permission of Huthig and Wepf Verlag, Basel).

G. Odian, *Principles of Polymerization*, 3rd edition, Wiley-Interscience, Hoboken, New Jersey.

Assumptions Made in Kinetic Analysis

- Propagation rate constant is constant
 - k_p is independent of chain length
- Termination rate constant is constant
 - k_t is independent of chain length
- Very long chains
 - Monomer only consumed in propagation
- One type of active site
 - Only head-to-tail addition
 - Otherwise (at least) 2 types of active sites
- Steady state
 - $[M\cdot] = \text{constant}$ (polymer)
 - $[R\cdot] = \text{constant}$ (primary)

Kinetics for Photoinitiation ...1

- Rate of initiation

- $R_i = 2 \Phi I_a$

- $I_a = I_0 \epsilon [A] b$

- Φ = quantum yield for initiation
 - I_a = intensity of light absorbed
 - I_0 = intensity of incident light
 - ϵ = extinction coefficient of A
 - A = molecule absorbing light
 - b = total thickness of reaction flask

- If absorbance does not vary with thickness

$$R_p = k_p [M] \sqrt{\frac{R_i}{k_t}} = k_p [M] \sqrt{\frac{2 \Phi I_a}{k_t}} = k_p [M] \sqrt{\frac{2 \Phi \epsilon I_0 [A] b}{k_t}}$$

Kinetics for Photoinitiation ...2

- If appreciable absorption

- Beer-Lambert law

- $I = I_0 (10^{-\epsilon [A] b'})$

- b' = distance into reaction flask

- I = intensity at distance b' into flask

- $I_a = I_0 - I$

- Therefore: $R_p = k_p [M] \sqrt{\frac{2 \Phi I_0 (1 - 10^{-\epsilon [A] b'})}{k_t}}$

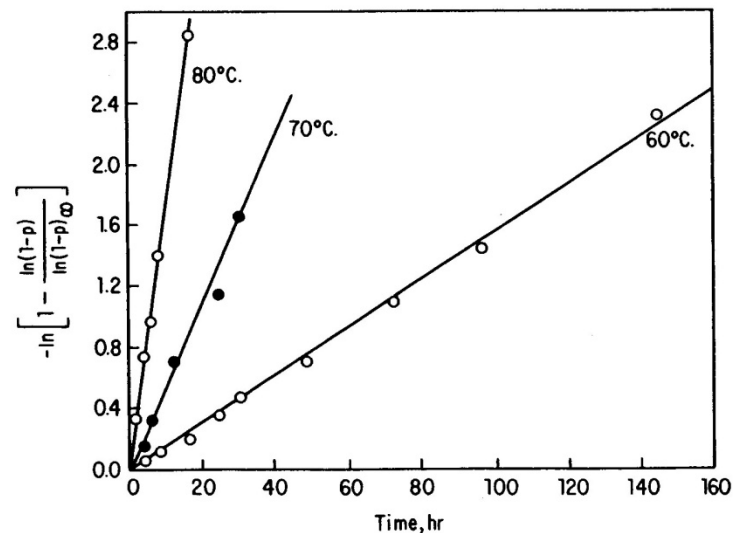
Dead-End Polymerization

- Measures k_d
- Low [Initiator]

$$-\ln \left[1 - \frac{\ln(1-p)}{\ln(1-p_\infty)} \right] = \frac{k_d t}{2}$$

- Initiator is consumed before polymerization is complete
 - p = extent of monomer conversion
 - p_∞ = limiting extent of monomer conversion

- Plot LHS vs. time gives k_d as slope



G. Odian, *Principles of Polymerization*, 3rd edition, Wiley-Interscience, Hoboken, New Jersey.

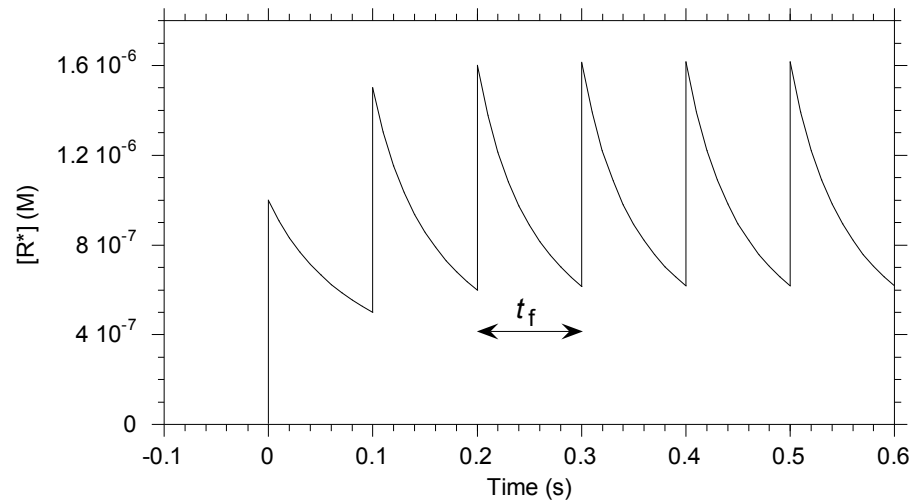
Fig. 3-6 Dead-end polymerization of isoprene initiated by azobisisobutyronitrile. After Gobran et al. [1960] (by permission of Wiley-Interscience, New York).

Non-Steady State Kinetics

- Steady state kinetics
 - Gives coupled form of (k_p^2/k_t)
- Non-steady state kinetics
 - Gives coupled form of (k_p/k_t)
- Combine both methods
 - Gives k_p and k_t individually
- Rotating sector method
 - Most common non-steady state method (until recently)
 - Determines average lifetime of a growing radical (τ_s)
 - Complex analysis yields (k_p/k_t)

Pulsed Laser Polymerization ...1

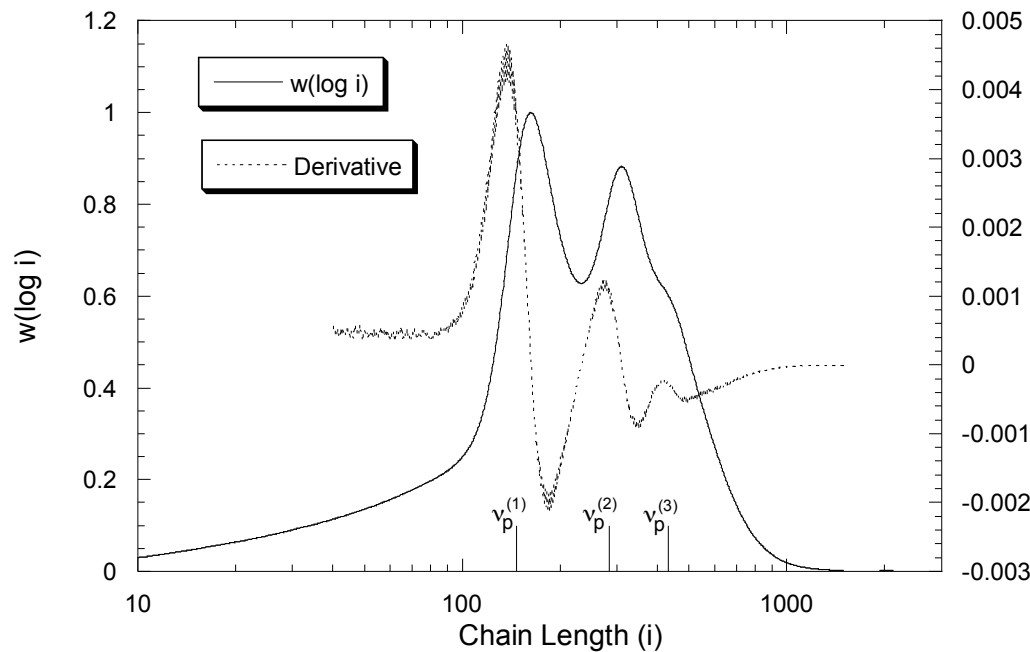
- Obtains k_p by itself
- Periodic initiation established by laser flashes
 - Initiation effectively instantaneous (10 ns)



- Propagation occurs between pulses
- Most termination occurs at time of laser pulses
 - Chains tend to start growth at laser pulse and terminate at a subsequent pulse

Pulsed Laser Polymerization ...2

- Average time for a propagation event
 - Avg. time = $1/(k_p[M])$
- Number of propagation events in time t_f
 - $v_p = k_p [M] t_f$
- Measure v_p from GPC, know t_f & $[M]$ (low conversion)
 - Estimate k_p



- From PLP of MMA
 - From $v_p^{(1)}$
 - $k_p = 331 \text{ M}^{-1}\text{s}^{-1}$
 - From $v_p^{(2)}$
 - $k_p = 328 \text{ M}^{-1}\text{s}^{-1}$
 - From $v_p^{(3)}$
 - $k_p = 329 \text{ M}^{-1}\text{s}^{-1}$
- Lit. value
 - $k_p = 323 \text{ M}^{-1}\text{s}^{-1}$

Termination Rates

- Radical-radical termination
 - Fast; usually diffusion-controlled
 - $k_t \sim 10^6 - 10^9 \text{ M}^{-1}\text{s}^{-1}$
 - low conversion & viscosity
 - k_t decreases with monomer conversion
 - From $\sim 10^9$ to 10^2 or less
- No method of unambiguously determining k_t
 - Viscosity dependent
 - Chain length dependent
 - $k_t(i,j)$ where i, j = radical chain lengths

How k_t Varies During Polymerization

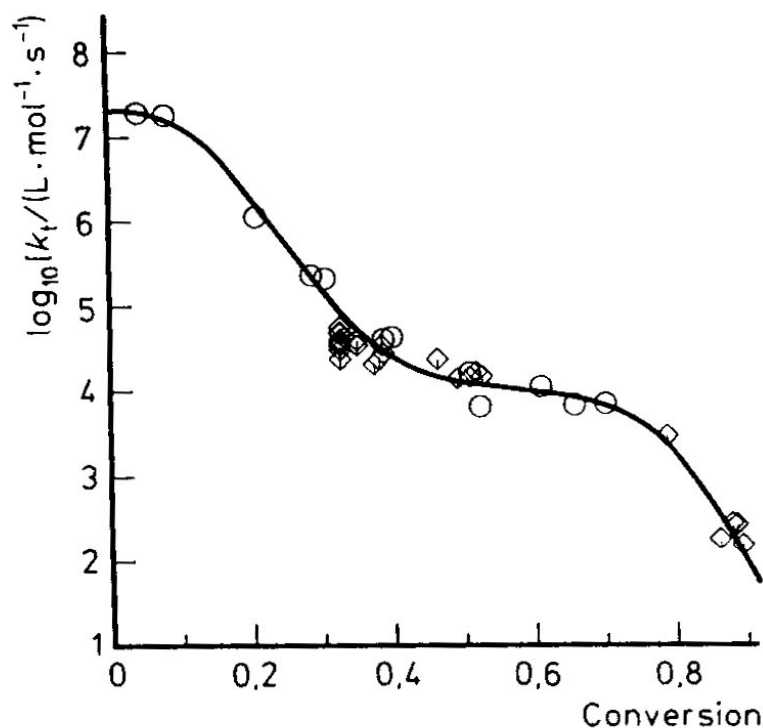


Fig. 6. Conversion dependence of k_t for the free-radical polymerization of methyl methacrylate. The experimental data are: (○) from ref. ⁸⁾ (for 0°C) and (◇) from ref. ⁹⁾ (for 50°C). The full line represents k_t from Eq. (11) with the rate coefficients and kinetic parameters given in the text

Termination Chemistry

- Two mechanisms
 - Combination (or coupling)
 - Disproportionation
- Proportions of both depend on monomer

	%D	%C
– Acrylonitrile	~0	~100
– Styrene	~10	~90
– Vinyl acetate	~10	~90
– MMA	~60	~40

 - More H' s available \Rightarrow more disproportionation

Kinetic Chain Length

- Kinetic chain length = ν
 - Number of monomer molecules per radical
 - $\nu = R_p / R_i = R_p / R_t$ (steady state)

$$\nu = \frac{k_p[M]}{2k_t[\text{radical}]} = \frac{k_p^2[M]^2}{2k_tR_p}$$

- For thermolysis:

$$\nu = \frac{k_p[M]}{2(fk_d k_t[I])^{1/2}}$$

- Increase R_p (through increase [radical])
 - Decrease in ν

Molecular Weights ...1

- Average degrees of polymerization
 - Dependent on mode of termination
 - Disproportionation
 - Combination
- Define:
 - p = probability of propagation over termination or transfer

$$p = \frac{R_p}{R_p + R_{tr} + R_t}$$

Molecular Weights ...2

- Disproportionation

- X_n = number average degree of polymerization = v

$$X_n = \frac{1}{1-p} \quad X_w = \frac{1+p}{1-p}$$

$$\frac{X_w}{X_n} = 1+p$$

- Combination

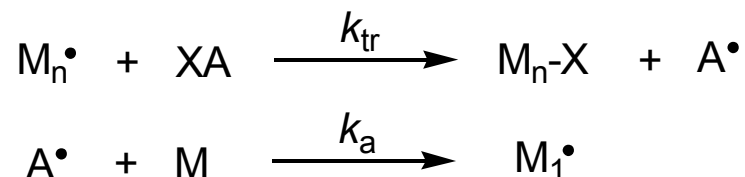
- $X_n = 2v$

$$X_n = \frac{2}{1-p} \quad X_w = \frac{2+p}{1-p}$$

$$\frac{X_w}{X_n} = \frac{2+p}{2}$$

Chain Transfer ...1

- Transfer may occur to:
 - Monomer (M)
 - Impurity
 - Polymer (P)
 - Chain transfer agent (A)
 - Solvent (S)



Case	Relative Rates		Effect	R_p	X_n
1	$k_p \gg k_{tr}$	$k_a \sim k_p$	Normal	None	↓
2	$k_p \ll k_{tr}$	$k_a \sim k_p$	Oligomerization	None	Large ↓
3	$k_p \gg k_{tr}$	$k_a < k_p$	Retardation	↓	↓
4	$k_p \ll k_{tr}$	$k_a < k_p$	Degradative Chain Transfer	Large ↓	Large ↓

Chain Transfer ...2

- Transfer constants = C
 - Defined by the ratio of k_{tr}/k_p for a particular transfer agent
E.g. monomer ($C_M = k_{tr,M}/k_p$), solvent ($C_S = k_{tr,S}/k_p$), etc.

- Redefine X_n :

- Rate of polymerization divided by all chain-terminating processes

$$X_n = \frac{R_p}{R_t + R_{tr,M} + R_{tr,S} + \dots}$$
$$= \frac{k_p[M\cdot][M]}{k_{tc}[M\cdot]^2 / 2 + k_{tr,M}[M\cdot][M] + k_{tr,S}[M\cdot][S] + \dots}$$

- Then rearrange

Chain Transfer ...3

- After rearranging ...

$$\frac{1}{X_n} = \frac{k_t[M\cdot]}{2k_p[M]} \left(\frac{k_p[M]}{k_p[M]} \right) + \frac{k_{tr,M}}{k_p} + \frac{k_{tr,S}[S]}{k_p[M]} + \dots$$

$$\frac{1}{X_n} = \frac{k_t R_p}{k_p^2 [M]^2} + C_M + C_S \frac{[S]}{[M]} + C_I \frac{k_t R_p^2}{k_p^2 f k_d [M]^3}$$

- For transfer to monomer
 - $C_S[S]/[M]$ & other terms vanish
 - Plot $1/X_n$ vs. R_p
 - Intercept yields C_M
 - Slope yields k_p^2/k_t

Chain Transfer ...4

- Added transfer agent (A)
 - Dominates any transfer events
- Mayo equation:

$$\frac{1}{X_n} = \left(\frac{1}{X_n} \right)_0 + C_A \frac{[A]}{[M]}$$

- $(1/X_n)_0$ = value of $(1/X_n)$ in absence of A
- Plot $1/X_n$ vs. $[A]/[M]$
 - Slope = C_S

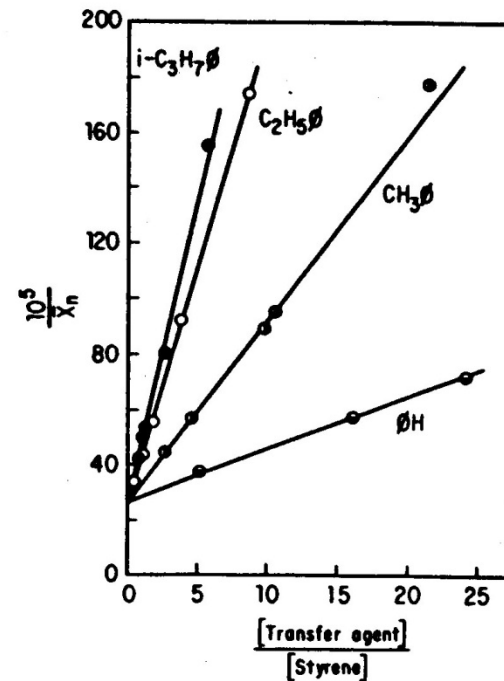


Fig. 3-10 The effect of various chain-transfer agents on the degree of polymerization of styrene at 100°C. After Gregg and Mayo [1948] (by permission of American Chemical Society, Washington, D.C.).

Transfer Agents & Constants

- Transfer agents and constants for styrene polymerization at 60°C

Transfer Agent	C_A
Benzene	1.0×10^{-6}
Toluene	1.05×10^{-5}
Butyl alcohol	6.0×10^{-6}
<i>t</i> - Butyl alcohol	6.7×10^{-4}
CBr_4	1.8
CCl_4	8.4×10^{-3}
CHCl_3	5×10^{-5}
1-Octanethiol	19
Triethylamine	1.4×10^{-4}
Water	0

- Alkyl thiols often used to control molecular weight

Gel Effect - Autoacceleration

- Expect rate to decrease with time

- Monomer is consumed

- $R_p = k_p [M\cdot] [M]$

- But, rate usually increases

- Cause

- Decrease in k_t

- Diffusion-controlled process

- R_p increases

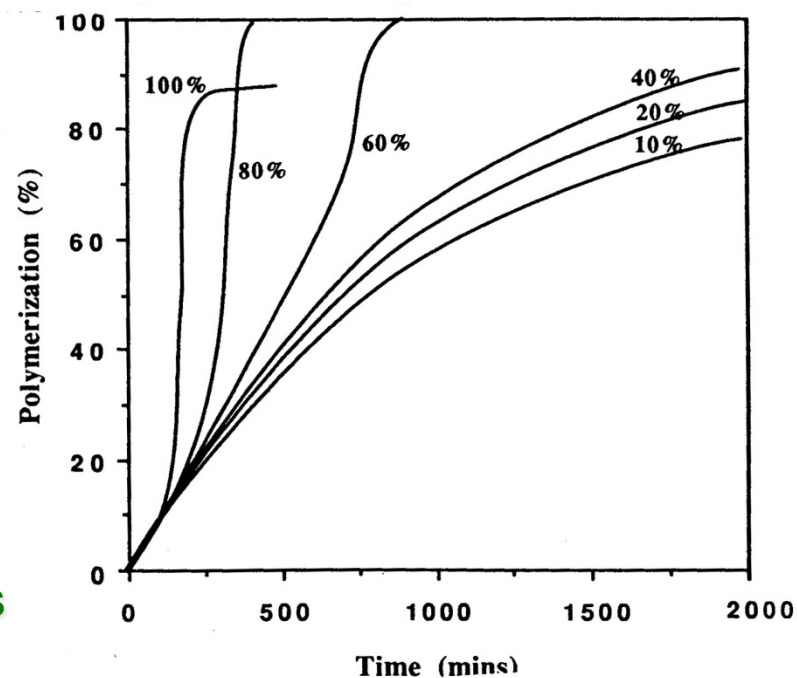
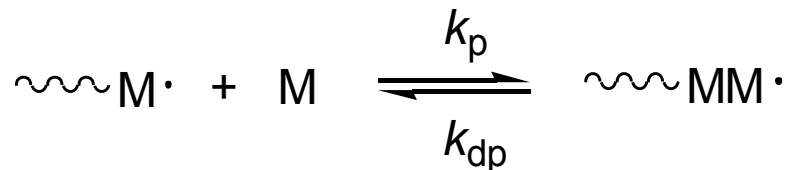


Figure 3.4 Plot of conversion vs time, for the free radical polymerization of methyl methacrylate at 50°C using benzoyl peroxide at various concentrations in benzene. Redrawn from the data of G.V. Schulz and G. Harborth, *Macromol. Chem.*, 1106 (1967).

$$R_p = \frac{d[M]}{dt} = k_p [M] \sqrt{\frac{R_i}{2k_t}}$$

Ceiling Temperature

- Propagation as an equilibrium



- $\Delta G = \Delta G^\circ + RT \ln K$

- $K = k_{\text{p}}/k_{\text{dp}} = [\sim\text{MM}\cdot]/[\sim\text{M}\cdot][\text{M}] = 1/[\text{M}]$

- At equilibrium ($\Delta G = 0$)

- $\Delta H^\circ + T\Delta S^\circ = -RT \ln K$

$$T_c = \frac{\Delta H^\circ}{\Delta S^\circ + R \ln[M]_c} \quad \ln[M]_c = \frac{\Delta H^\circ}{RT_c} - \frac{\Delta S^\circ}{R}$$

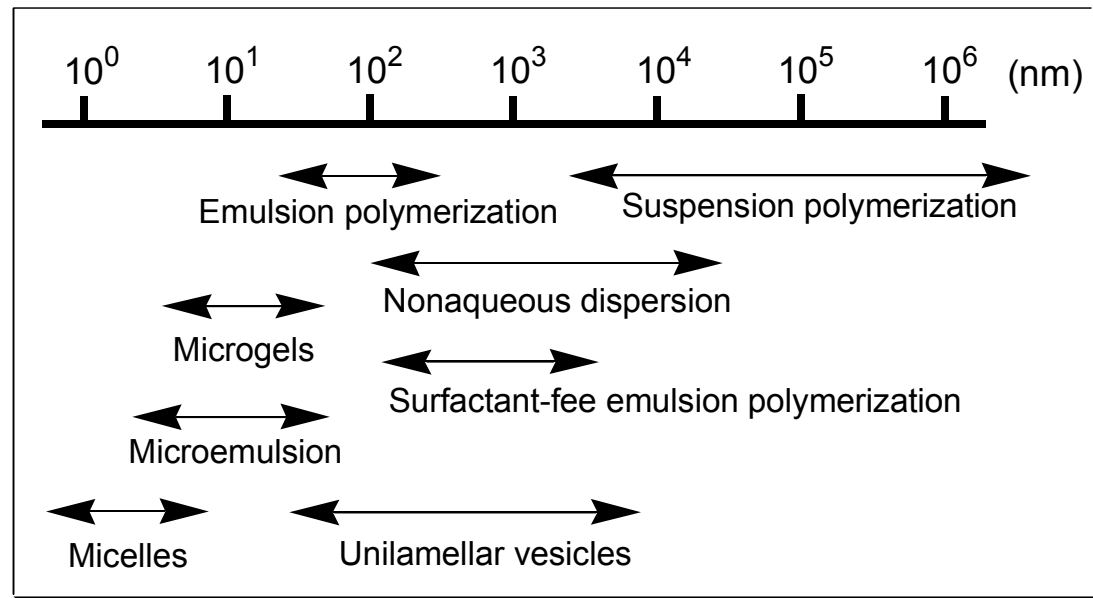
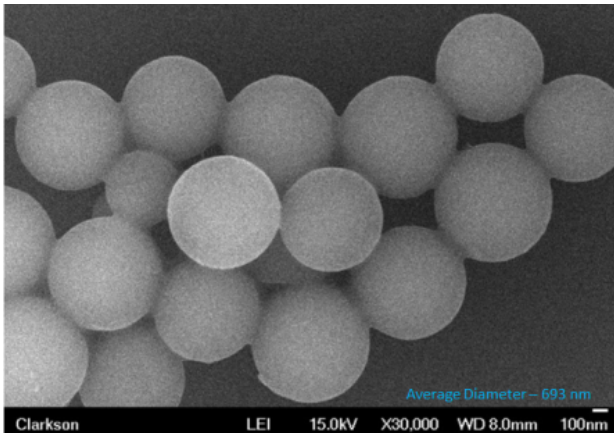
- $T_c =$ ceiling temperature
- $[M]_c =$ equilibrium monomer conc.

- For α -methyl styrene

- Neat, $T_c = 61^\circ\text{C}$
- $T = 25^\circ\text{C}$, $[M]_c = 2.2 \text{ M}$

Heterogeneous Radical Polymerizations

- Particle-forming polymerizations
 - Emulsion polymerizations
 - Mini-emulsion, micro-emulsion and emulsion
 - Dispersion polymerizations
 - Suspension polymerizations



Heterogeneous Polymerization ...1

- Advantages

- Bulk – batch

- Reduces effect of impurities

- Bulk – continuous

- Better temperature control

- Solution

- Temperature control

- Suspension

- Temperature control
 - Low viscosity
 - Isolation
 - Size control

- Emulsion

- Temperature control
 - Low viscosity
 - Latex product
 - High molecular weight & polymerization rate

Heterogeneous Polymerization ...2

- **Disadvantages**
 - **Bulk – batch**
 - Temperature control difficult
 - High viscosity
 - Incomplete conversion possible
 - **Bulk – continuous**
 - Isolation
 - Agitation required
 - Recycling
 - **Solution**
 - Isolation
 - Chain transfer to solvent
 - Solvent removal
 - **Suspension**
 - Agitation required to maintain suspension
 - Washing, drying
 - Removal of suspending agents (stabilizers)
 - **Emulsion**
 - Sensitive to impurities
 - Removal of emulsifier maybe required
 - Cost

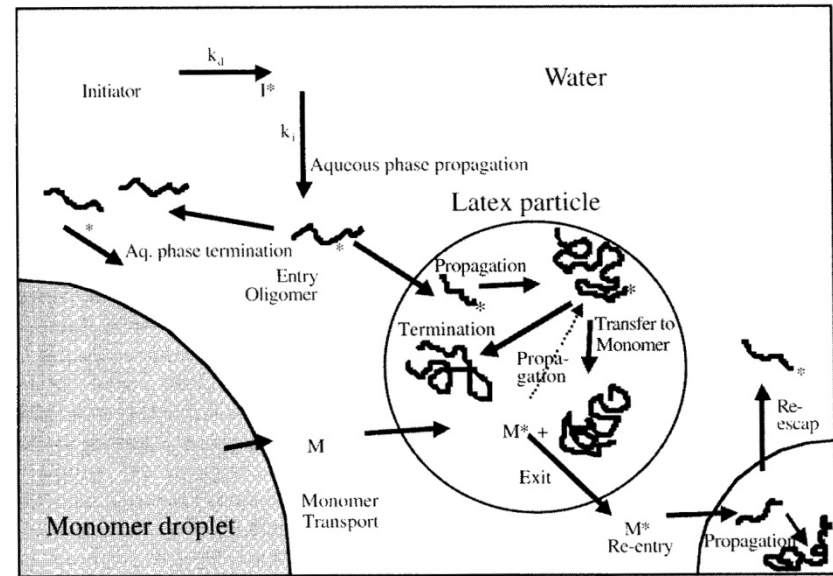
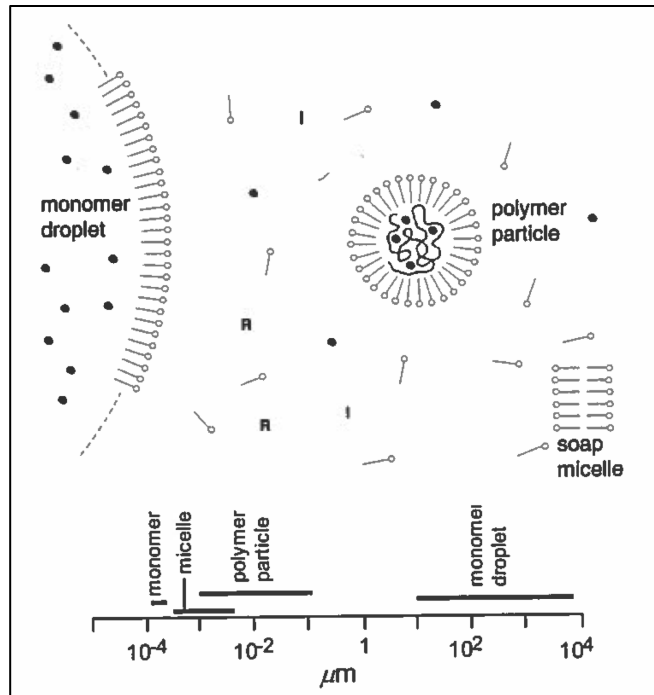
Suspension vs. Emulsion

- Comparison of suspension and emulsion polymerizations

Suspension		Emulsion	
Monomer	35.7	Monomer	32.8
H ₂ O	64.2	H ₂ O	65.6
Poly(vinyl alcohol)	0.0143	H(CH ₂) ₇ CO ₂ ⁻ NH ₄ ⁺	1.64
Laurel peroxide	0.0714	K ₂ S ₂ O ₈	0.0328
Size of monomer droplet (ϕ)	0.1-5 mm	Size of monomer droplet (ϕ)	10 ⁻⁴ mm (100nm)

Weight %

Emulsion Polymerizations



- Nucleation
 - Micellar
 - Radicals from H₂O into micelle
 - Homogeneous
 - Polymer radicals precipitate from H₂O

Suspension Polymerization

- **Monomer**
 - Discontinuous suspension in a continuous phase (usually water)
- **Initiators**
 - Monomer-soluble
- **Surfactants**
 - Determine monomer droplet size
 - Control agglomeration
- **Polymerizing droplets**
 - Diameter of 50 – 500 micron
 - Heat removed easily
- **Monomers**
 - Styrene/divinyl benzene
 - Vinyl chloride, vinyl acetate
 - Methacrylic esters
 - Tetrafluoro ethylene
 - Homo- and co-polymers

Mini- & Micro-Emulsions, Precipitation

- Mini-emulsion polymerization
 - Similar to emulsions but droplet nucleation
- Micro-emulsion polymerization
 - An emulsion that is thermodynamically stable
 - Usually particles are 10-50 nm
- Precipitation polymerization

Dispersion Polymerizations

- **Monomer**
 - Homogeneous monomer phase in solvent (usually water)
 - Polymer is insoluble in solvent, therefore becomes heterogeneous
- **Initiators**
 - Monomer-soluble
- **Surfactants**
 - Stabilize polymer particles
 - Control agglomeration
- **Polymerizing particles**
 - Diameter of 1– 10 micron
 - Heat removed easily
- **Monomers**
 - Vinyl acetate
 - Methacrylates, acrylates
 - Homo- and co-polymers